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\*Admitted only in Maryland  
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•Practice Limited to  
Federal Agencies

April 30, 2003

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Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Utility Patent Application  
Appl. No. 09/665,510; Filed: September 19, 2000  
For: **HLA Binding Peptides and Their Uses**  
Inventors: KUBO *et al.*  
Our Ref: 2060.005000D/HCC/PAC

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Third Information Disclosure Statement;
2. Form PTO-1449 listing nine (9) documents;
3. Copies of the documents as listed on Form PTO-1449 (AE1-AI1, AL2-AN2, and AR11); and
4. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

*Helene Carlson*

Helene C. Carlson  
Agent for Applicants  
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HCC/PAC:dbj

Enclosures

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SKGF DC1:129|24.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kubo *et al.*

Appl. No. 09/665,510

Filed: September 19, 2000

For: **HLA Binding Peptides and Their  
Uses**

Confirmation No. 7164

Art Unit: 1644

Examiner: Schwadron, R.B.

Atty. Docket: 2060.005000D/HCC/PAC

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**Third Supplemental Information Disclosure Statement under 37  
C.F.R. § 1.97(b)**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Third Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Second Supplemental Information Disclosure Statement filed on March 14, 2003, in connection with the above-captioned application. A copy of each document is also provided.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered. This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

Consideration of the cited documents and making the same of record in the prosecution of the above-identified application is respectfully requested. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

*Helene Carlson*

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Date: 4/30/03

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FORM PTO-1449

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENTATTY. DOCKET NO.  
2060.005000D/HCC/PACAPPLICATION NO.  
09/665,510APPLICANT  
Kubo et al.FILING DATE  
September 19, 2000GROUP  
1644

Page 1 of 1

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE1	5,756,666	05/26/1998	Takiguchi et al.			10/19/1994 04/04/1996 (371 date)
	AF1	5,763,158	06/09/1998	Bohannon			02/06/1997
	AG1	5,837,249	11/17/1998	Heber-Katz et al.			10/20/1993
	AH1	5,932,224	08/03/1999	Chisari			06/06/1995
	AI1	6,001,349	12/14/1999	Panicali et al.			02/22/1995
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL2	WO 97/34617	09/25/1997	WIPO			Yes No
	AM2	WO 99/45954	09/16/1999	WIPO			Yes No
	AN2	WO 99/58658	11/18/1999	WIPO			Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	<u>11</u>	Copy of International Search Report for International Patent Application No. PCT/US00/24100, mailed January 23, 2001.
AS		
AT		

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.